

# **GOALS AND STRATEGIES FOR HAVING DIFFICULT CONVERSATIONS: VIEWS FROM A COMMUNICATION RESEARCHER AND PEDIATRIC ONCOLOGIST**

**Bryan Sisk, MD, MSCI**

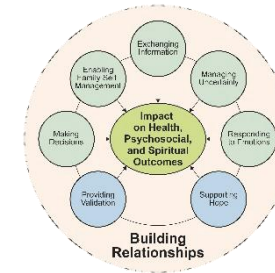
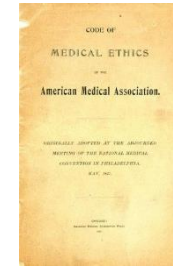
Assistant Professor, Division of Pediatric Hematology and Oncology  
Assistant Professor, Division of General Medical Sciences  
Director of Research, Bioethics Research Center  
Washington University in St. Louis, School of Medicine

Twitter: @sisk\_md

- I have no conflicts of interest related to this presentation

# Overview

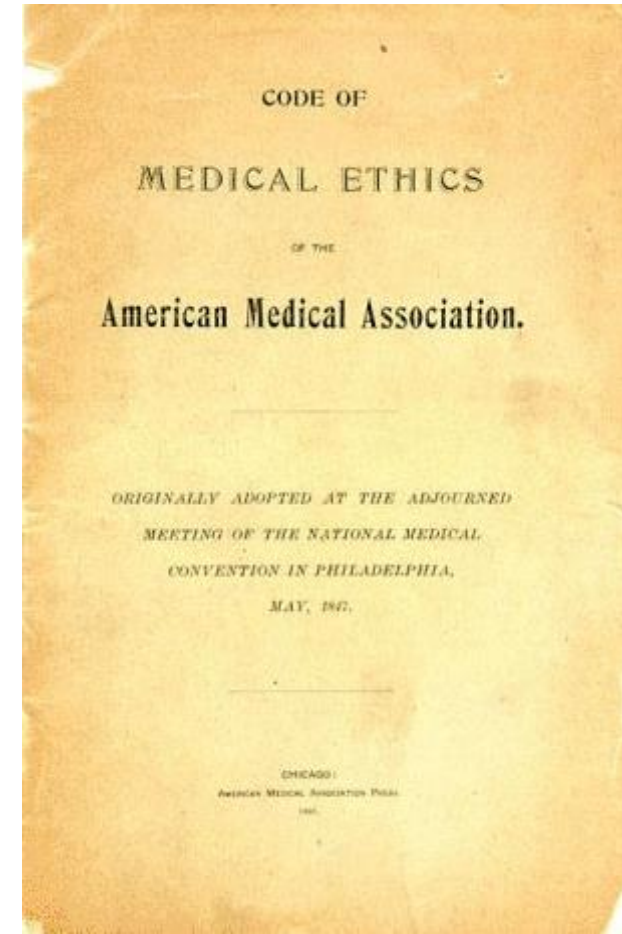
- Examining our history of non-disclosure
- Functional model of communication
- Practical tips for breaking bad news



# Historically, physicians were encouraged to avoid sharing bad news with patients.

## 1847 AMA Code of Ethics – *Don't Tell the Patient*

- “A physician should not be forward to make gloomy prognostications because they savour of empiricism...”
- “But he should not fail, on proper occasions, to give to the friends of the patient timely notice of danger, when it really occurs; and even to the patient himself, if absolutely necessary.”



Physicians were supposed to be “ministers of hope and comfort” to patients.

- “This office, however, is so peculiarly alarming when executed by [the physician], that it ought to be declined whenever it can be assigned to any other person of sufficient judgment and delicacy.”
- “For, the physician should be the **minister of hope and comfort** to the sick”



## Physicians believed that words were physically harmful.

- “The life of a sick person can be shortened not only by the acts, but also by the words or the manner of a physician. It is, therefore, a sacred duty to guard himself carefully in this respect, and to avoid all things which have a tendency to discourage the patient and depress his spirits.”

-- 1847 AMA Code of Ethics

## To “protect” patients, physicians were withhold difficult information.

- 1909
  - “In regard to cancer, the consensus of opinion is that **patients be kept in ignorance** of the nature and probable outcome of the disease **as long as possible**, in this way obviating the severe mental depression which invariably accompanies such knowledge.”
- 1915
  - “[I]t is not merely the danger of **‘fatal shock’** that should restrain a physician in many cases from disclosing the truth to his patient, but the almost certainty that such a **disclosure will be the greatest obstacle to a cure.**”

**This protective approach persisted until recent history.**

## What to Tell Cancer Patients

A Study of Medical Attitudes

*Donald Oken, M.D., Chicago*

- 1961
  - 90% of physicians preferred not disclosing cancer diagnoses to patients
- 1966
  - When disclosure happened, often done poorly...

## **DISCLOSURE OF TERMINAL ILLNESS\***

Barney G. Glaser†

*This paper presents a descriptive process for understanding disclosure of terminal illness. This process combines both (1) the stages typically present in the response stimulated by such disclosure and (2) the characteristic forms of interaction between the patient and hospital staff at each stage of the process.*



## Pediatricians also historically withheld difficult news from children, such as cancer diagnosis.

1950s – 1970s

Potential inaccuracy of diagnosis

“[W]ithout an accurate diagnosis, it is cruel to arouse anxieties unnecessarily.”

Harms of truth

“Another boy, 15 years of age, who had leukemia (in remission), was being discharged from the hospital and somehow found out what his diagnosis implied. He solved the problem by leaping from his eight story hospital room.”

Kids don't want to know

“[C]hildren observed by us rarely manifested an overt concern about death... Our suspicion is that this does not reflect an awareness but rather represents an attempt at repression psychologically of the anxiety concerning death.”

Disclosure affects the family

“We are concerned about the effect this revelation may have on relationships with parents, brothers and sisters, other relatives, and playmates and classmates.”

## Some physicians openly advocated for deception as recently as the 1960s.

“We recently had a 13 year old boy with lymphosarcoma who had a frozen pelvis and a functioning colostomy. He had been told that he had a draining abscess from a ruptured appendix. As far as we could tell, we felt that he accepted this diagnosis as correct. We never intended for him to know otherwise.”



**For various social, cultural, and professional reasons, physicians became more transparent in the late 1970s.**

## **Changes in Physicians' Attitudes Toward Telling the Cancer Patient**

Dennis H. Novack, MD; Robin Plumer; Raymond L. Smith;  
Herbert Ochitill, MD; Gary R. Morrow, PhD; John M. Bennett, MD

- **In answer to a questionnaire administered in 1961, 90% of responding physicians indicated a preference for not telling a cancer patient his diagnosis. To assess attitudinal changes, the same questionnaire was submitted to 699 university-hospital medical staff. Of 264 respondents, 97% indicated a preference for telling a cancer patient his diagnosis—a complete reversal of attitude.** As in 1961, clinical experience was the major policy determinant, but the 1977 population emphasized the influence of medical school and hospital training. Our respondents indicated less likelihood that they would change their present policy or be swayed by research. Clinical experience was the determining factor in shaping two opposite policies. Physicians are still basing their policies on emotion-laden personal conviction rather than the outcome of properly designed scientific studies.

**(JAMA 241:897-900, 1979)**

# Why this change?



## FINAL THE COMMERCIAL

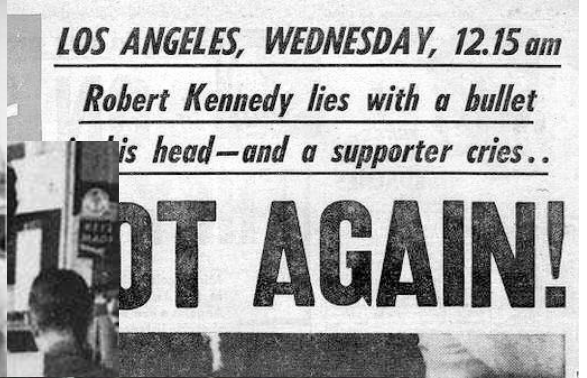
19th YEAR—No. 96 MEMPHIS, TENN., FRIDAY MORNING, APRIL 4, 1968

# DR. KING IS SLAIN

## Looting, Arson Touched Off By

### GUARDSMEN RETURN; CURFEW IS ORDERED

By RICHARD LENTZ  
Looting, arson and shooting raged minutes after the death of Dr. Martin Luther King Jr. today here and in hours Tennessee National Guardsmen arrived to take over street patrol in the east-Memphis.  
Protests began occurring in the streets, involving shouting and setting fires shortly after the announcement of the civil rights leader's death at 7 p.m.  
At the scene of Dr. King's slaying Friday, Negroes clashed with police as far away as Miami, in Jackson, Miss., and in Nashville, where another 4000 protesters were called out to join the people.  
In Memphis, police had arrested 50 persons, including two youths and one woman by 1 a.m. There were at least 14 persons reported hurt and a steady flow of injured was being treated at hospitals.  
One man had been reported killed in the turmoil.  
The most seriously injured person was 55-year-old John J. Smith, whose police report was filed while he lay in an animal hospital at John Cooper Hospital.  
Officers had to fire at officers with a rifle when they were in a close fight in the hospital. They returned the fire and he was hit.  
A 2-hour general curfew was ordered last night, with travel allowed only for emergency or health reasons. Schools, shops and businesses were ordered closed. The curfew will remain in effect indefinitely.



# Pediatricians had additional reasons for becoming more transparent.

1960s – 1980s

Lying is hard, and the façade falls apart

“[C]hildren inevitably sense what is happening to them or in their family, even when a deliberate attempt is made to shield them from tragic, frightening or complicated affairs.”

Children actually know (or at least sense) the truth

“The fatally ill child of 6 to 10 years appears to be aware of the seriousness of his illness, even though he may not yet be capable of talking about his awareness in adult terms.”

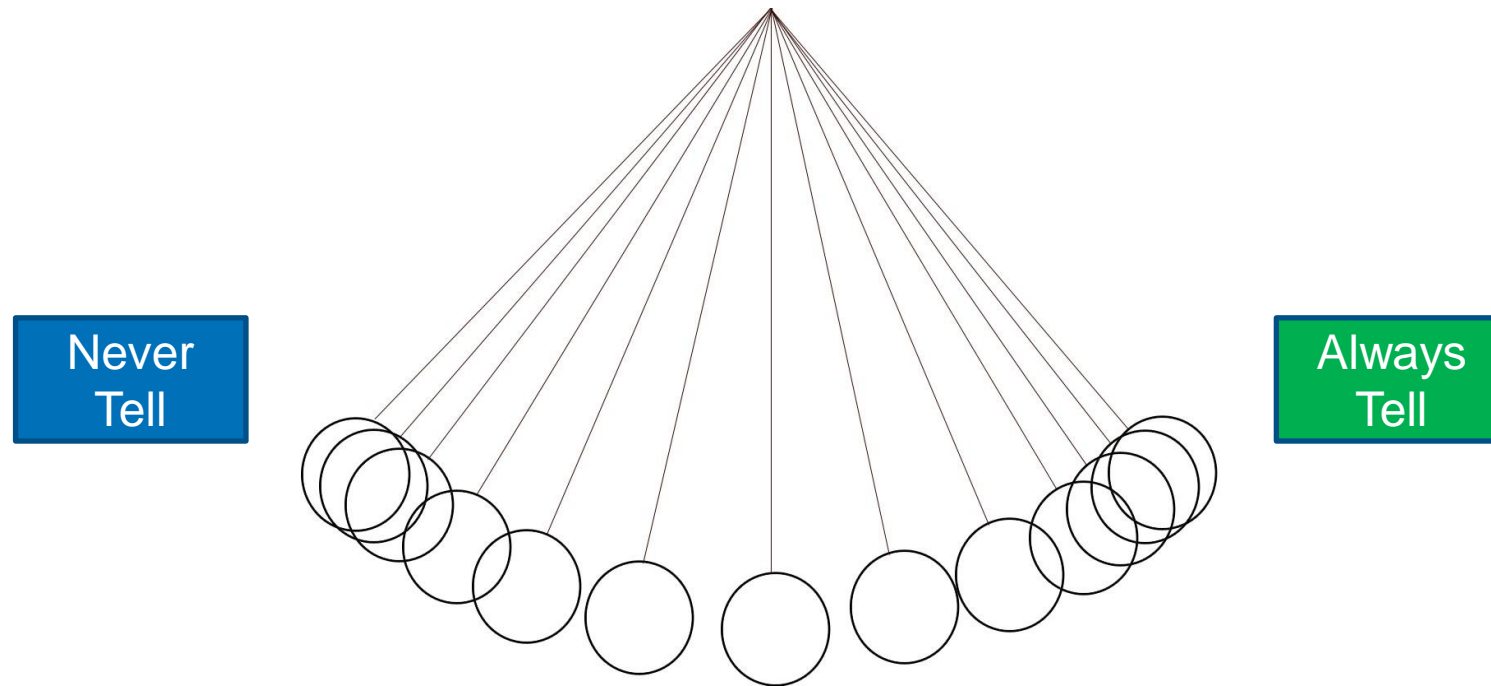
The environment makes children fear speaking

“Is the child who does not verbalize concern really unconcerned, or only afraid to speak?”

Transparency supports children and their parents

“In order to help a child cope with the problems of serious illness, it is necessary to develop an environment in which he feels perfectly safe to ask any question, and completely confident of receiving an honest answer.”

# The pendulum swung from protection to transparency (and continues to oscillate).



**Disclosure involves both *what* and *how* clinicians communicate.**



# When I entered this field, I wanted to learn what “good communication” meant.

2016

Hopefulness

Feeling comforted

Feeling like a “good parent”

Less regret



Greater trust

Improved satisfaction



Fewer ER visits

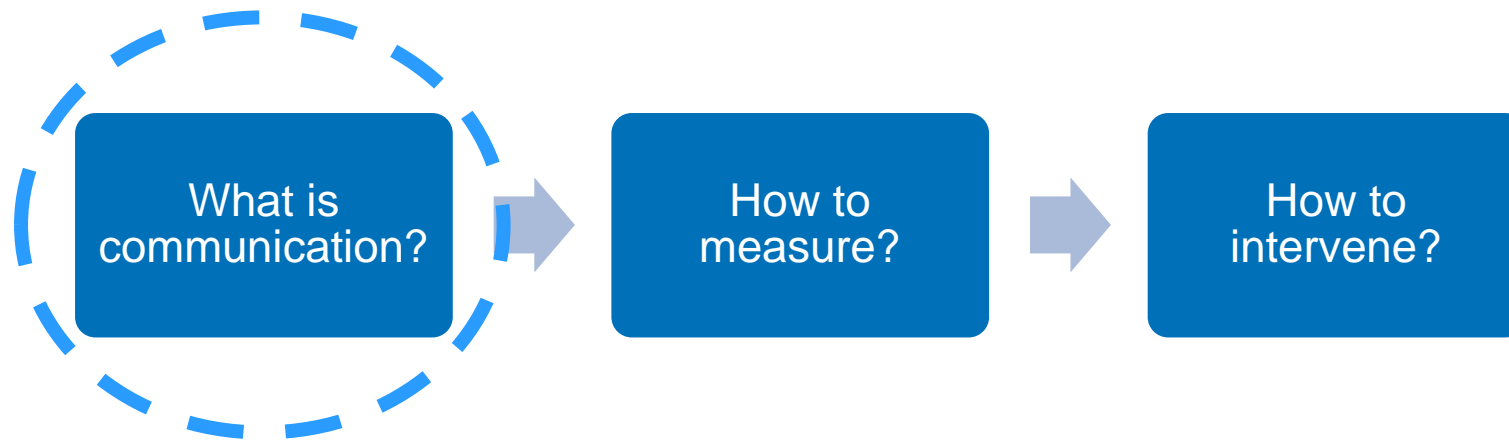
Better self-management



**Most past communication studies have focused on narrow aspects of communication, or “high-quality” communication.**



**We need an evidence-informed definition of communication to guide future measures and interventions.**



# Communication is often framed in a process model, rather than a functional model.

## Process Model

To improve communication, follow specific processes.

Name the patient's emotions

Ask "what if" questions

Give prognostic information to adolescents

## Functional Model

To improve communication, ensure core functions are fulfilled.



Many paths, same goal

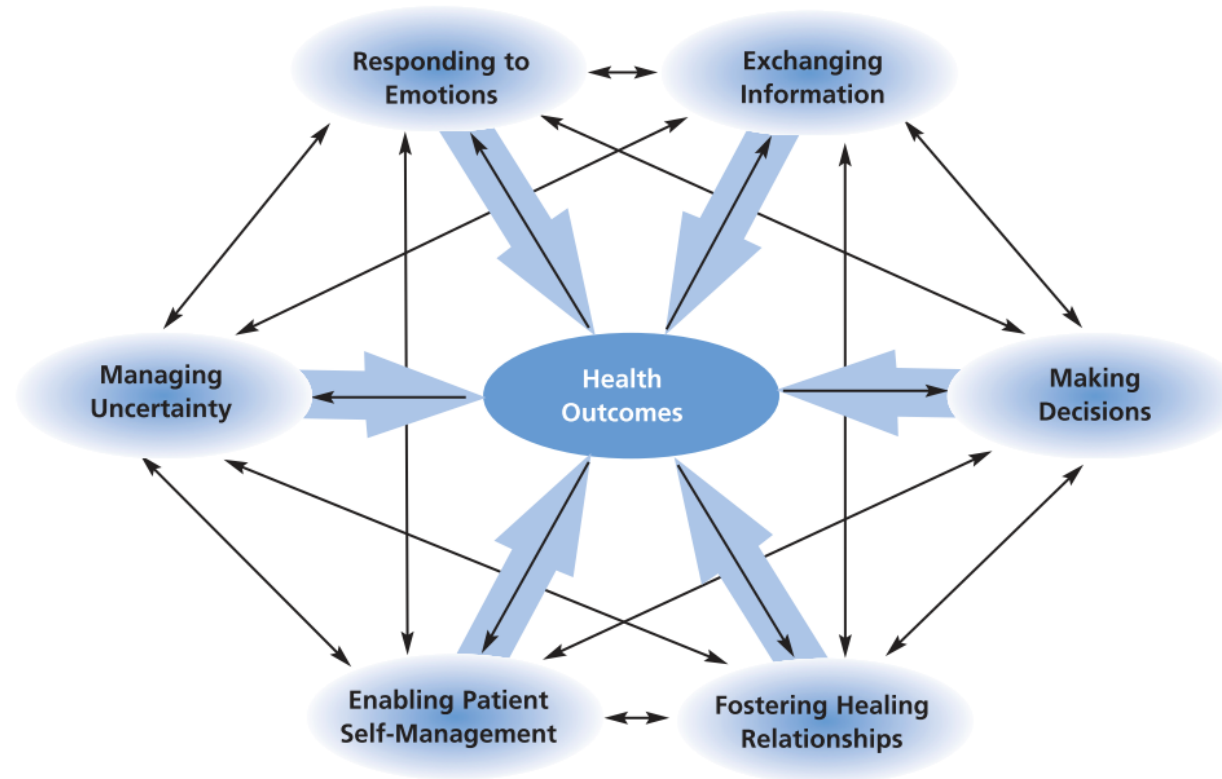
# Functional communication models are adaptive to unique characteristics of clinicians and patients.

“They did the best thing they could have done... they left the room.”  
- 21 year old survivor of cancer

Families know when we are insincere.



# A functional model of communication was first developed in adult oncology.



... what about pediatrics?

Epstein and Street, *National Cancer Institute Monograph*, 2007

# We engaged multiple stakeholders to develop a functional model of communication in pediatric oncology.

## Parents

80 semi-structured interviews

## Clinicians

10 focus groups 59 clinicians

## AYA Patients

37 semi-structured interviews

Multi-Site Study

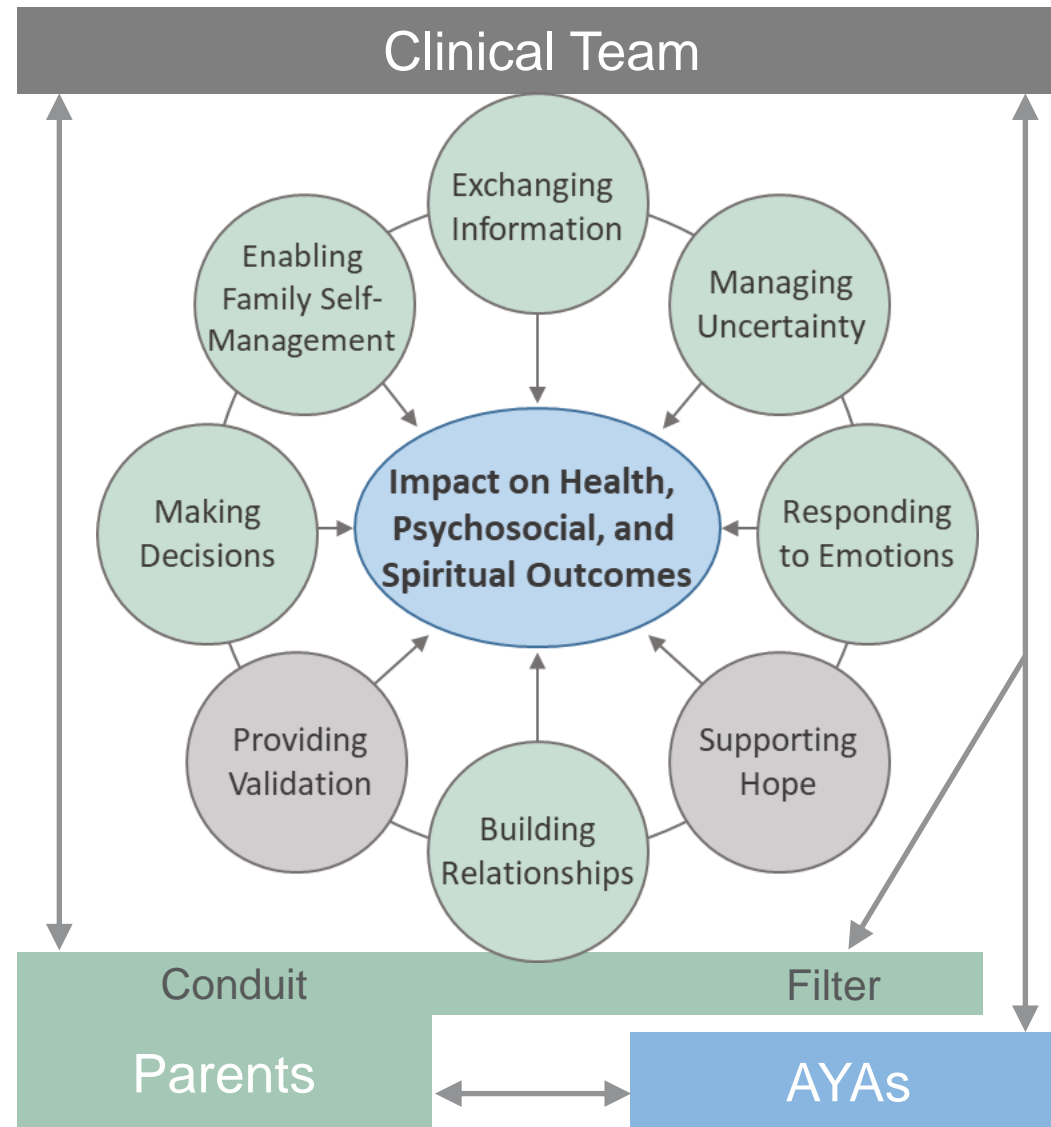


Dana-Farber  
Cancer Institute



# Communication fulfills multiple functions for AYAs and parents.

Model corroborated by  
parents, AYAs, and  
clinicians



Sisk BA, et al. Pediatrics. 2020  
Sisk BA, et al. Supp Care Cancer. 2021  
Sisk BA, et al. J. Palliativ Med. 2021  
Sisk BA, et al. Pediatr Bld Cancer. 2022

# Breaking bad news involves multiple communication functions.

We will focus on 2 functions:

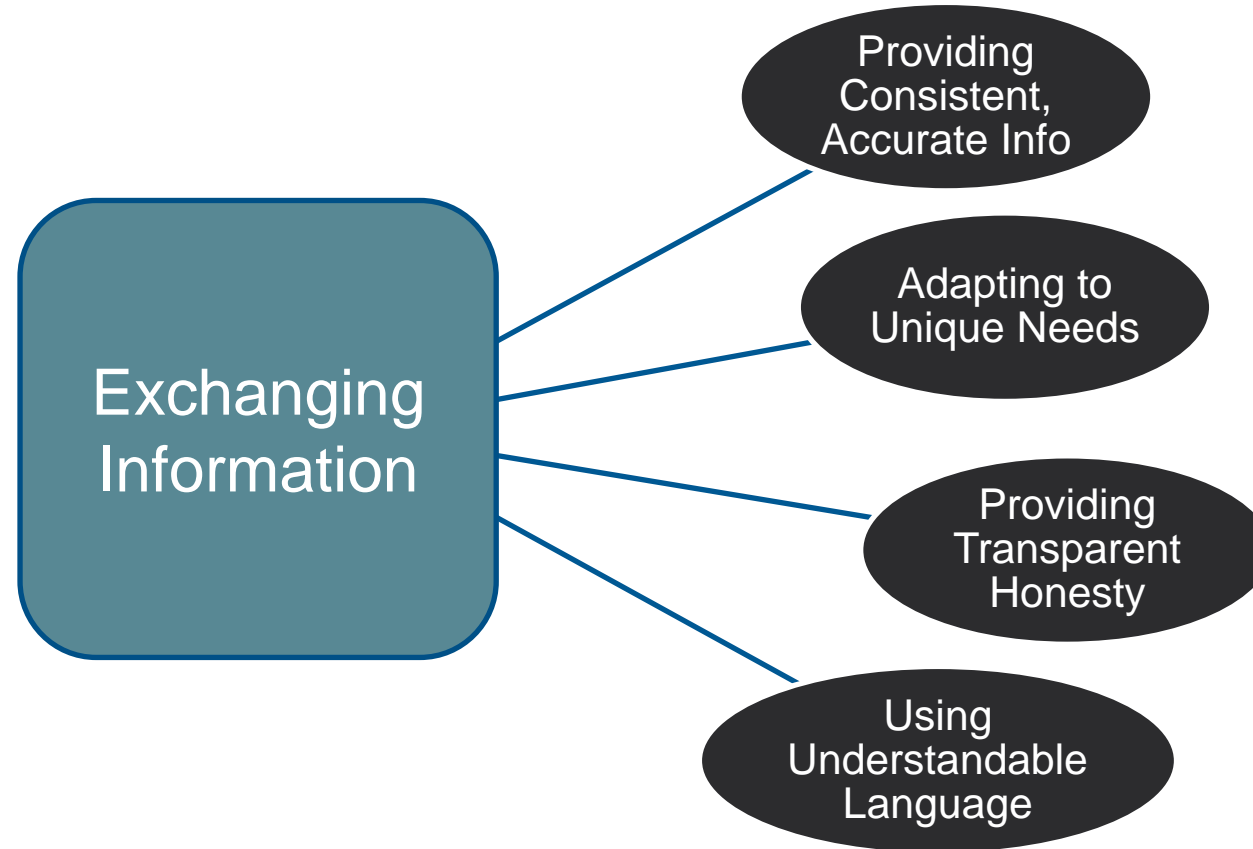
Exchanging  
Information

Responding  
to Emotions





# Parents and patients identified multiple aspects of information exchange.



Sisk BA, et al. Pediatrics. 2020

# Exchanging Information

- **Parents want information**
- Survey at diagnosis, 4 months, and 12 months
  - 84-87% wanted all details about prognosis
  - 91-96%: Late effects information very/extremely important
  - 83-87% wanted all possible late effects details

Sisk, B.A. et al. *Cancer*, 2017

Sisk, B.A., et al. *Pediatric Blood and Cancer*, 2018

- **AYAs want information**
- Survey at diagnosis, 4 months, and 12 months
  - 85-96%: Prognostic info very/extremely important
  - Higher sensitivity → Offering prognostic info before being asked

Sisk, B.A. et al. *Cancer*, 2019

Sisk, B.A. et al. *Journal of Palliative Medicine*, 2019

# Families often receive insufficient information.

- Not always about diagnosis, prognosis, and treatment
- 86% of parents did not receive enough information about cancer affecting psychosocial health.

Lövgren M, et al. *Pediatr Blood Cancer*. 2020

How we communicate is as important as what we communicate

- Understandable
- Sensitive
- Honest
- Appropriate pacing
- Adapted to parents and child's needs and family's culture

# Every family has unique needs, and we can't know unless we ask.

Numbers and percentages?

All info up front, or bits of info over time?

How much does the child want to know?

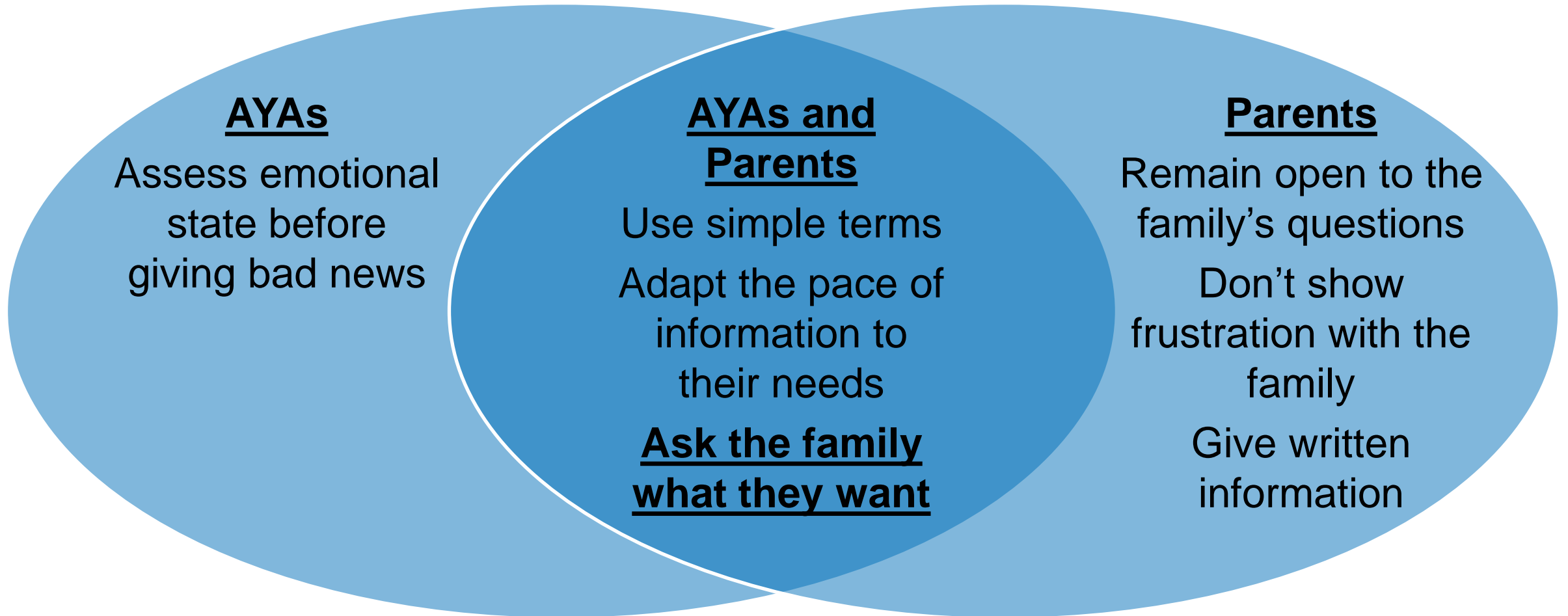
Short-term or long-term information?

Tell parent and child together or sequentially?

How much do the parents want the child to know?

Do preferences change over time?

# Advice from Parents and AYAs



## **AYAs**

Assess emotional state before giving bad news

## **AYAs and Parents**

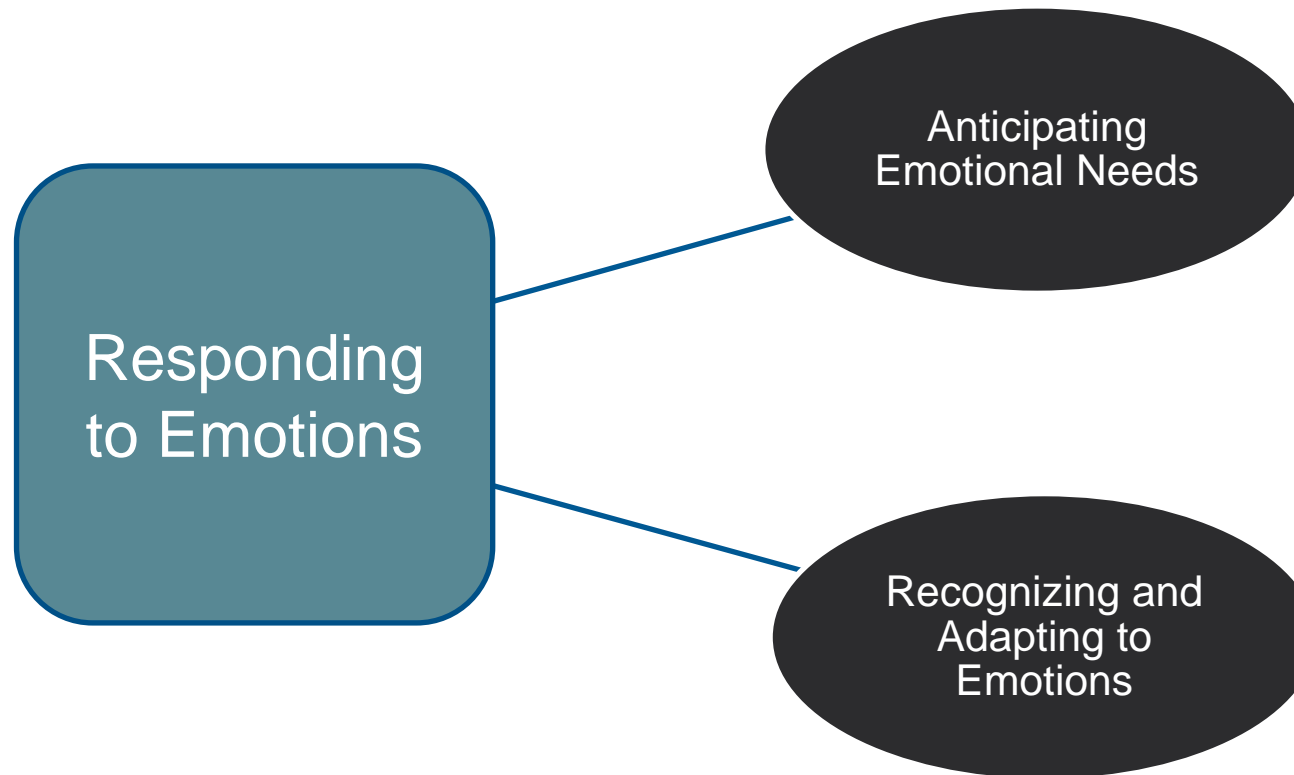
Use simple terms  
Adapt the pace of information to their needs

**Ask the family what they want**

## **Parents**

Remain open to the family's questions  
Don't show frustration with the family  
Give written information

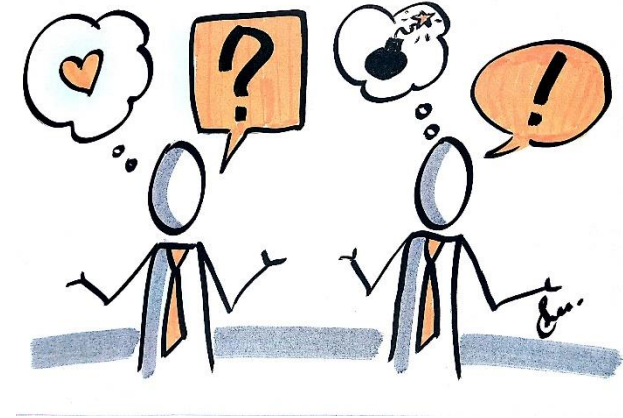
**Parents and patients identified two main aspects of responding to emotions.**



Sisk BA, et al. Pediatrics. 2020

# Emotions are everywhere in difficult conversations

- 35 clinical encounters after relapse
- 91% contained emotional cues or subtle hints
- 40% contained explicit emotional concerns
- **Children: Only 3% of cues, and NO concerns**



Sisk B, et al. JPSM 2019

## Emotions can be subtle.

### Put on a happy face:

Positive external expression  
negatively correlated with self-  
reported affect  
-Hexem, et al. 2013 JPSM



### Mutual Protection:

AYAs and parents perceive  
mutual responsibility to be  
strong and protect the other's  
emotions.  
- Sisk, et al. *Article Under  
Review*





# Responding to emotions requires choices.

**Father:**  
“The thought of putting her through 3 or 4 hours of surgery is...”

**Technical Response:**  
“Well, this procedure is actually really safe, and the surgeon is very experienced.”

**Emotional Inquiry:**  
“I can see that is upsetting. What worries you about the surgery?”



Clinicians commonly respond to emotions with information

# Key Takeaways - Emotions

Emotional communication is a subtle.

Parents offer hints.

Clinicians respond with information.

Children are seldom engaged.

- **N.U.R.S.E.**
  - **Name** the emotion
  - **Understand** the core message
  - **Respect/reassurance** at the right time
  - **Support**
  - **Explore** emotional content and context
- -Back et al. 2009.

# Summary

Humble  
Curiosity

Intend to  
Communicate  
Well

Attention to  
Subtle Cues

# Acknowledgments

## WUSTL Bioethics Research Center

- **James DuBois, DSc, PhD**
- Jessica Mozersky, PhD
- Alison Antes, PhD

## Dana-Farber Cancer Institute

- **Jennifer Mack, MD, MPH**
- Fabienne Bourgeois, MD, MPH
- Lauren Fisher
- Gilda Rodriguez

## St. Jude Children's Research Hospital

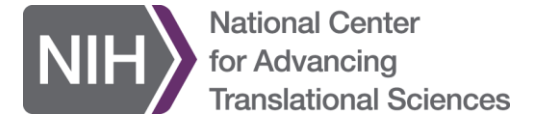
- Justin N. Baker, MD
- Erica Kaye, MD
- Cameka Woods
- Robin Wilcox

## Riley Children's Hospital

- Lindsay Blazin, MD, MPH

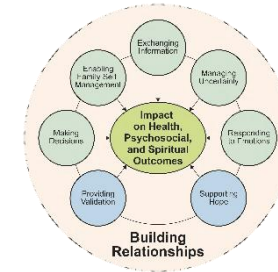
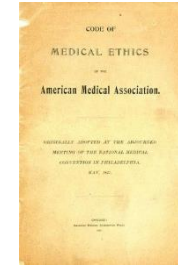
## Marquette University

- Amy Newman, RN, PhD



# Questions?

- Examining our history of non-disclosure
- Functional model of communication
- Practical tips for breaking bad news



Twitter: @sisk\_md